OCKET NO.: MSFT-0672/158461.1

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**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Adrian C. Klein, et al.

Serial No.: 09/995,224

Filing Date: November 26, 2001

For: SMART LINKS

Group Art Unit: 2641

**Examiner: Not Yet Assigned** 

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Technology Center 2600

DATE OF DEPOSIT:

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOYE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 2021.

TYPED NAME: George J. Aware REGISTRATION NO.: 46.529

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

## INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §\$1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first office action after the filing of request for continued examination under §1.114, no additional fee is required.

	In accordance with §1.129(a), this Information Disclosure Statement is being					
	filed in connection with $\Box$ the first or $\Box$ second After Final Submission					
	therefore:					
	☐ Certification in Accordance with §1.97(e) is attached; or					
	The fee of $$180.00$ as set forth in \$1.17(p) is attached.					
	In accordance with §1.97(c), this Information Disclosure Statement is being					
	filed after the period set forth in §1.97(b) above but before the mailing date of					
	either a Final Action under §1.113 or a Notice of Allowance under §1.311, or					
	before an action that otherwise closes prosecution in the application, therefore					
	☐ Certification in Accordance with §1.97(e) is attached; or					
	The fee of $$180.00$ as set forth in $$1.17(p)$ is attached.					
□ `	In accordance with §1.97(d), this Information Disclosure Statement is being					
	filed after the mailing date of either a Final Action under §1.113 or a Notice of					
	Allowance under §1.311 but before, or simultaneously with, the payment of					
	the Issue Fee, therefore included are: Certification in Accordance with					
	§1.97(e); and the submission fee of <u>\$180.00</u> as set forth in §1.17(p).					
	Copies of each of the references listed on the attached Form PTO-1449 are					
	enclosed herewith.					
X	Copies of references listed on the attached Form PTO-1449 are enclosed					
	herewith.					
	EXCEPT THAT:					
	☐ In view of the voluminous nature of references [list as appropriate],					
	and the likelihood that these references are available to the Examiner,					
	copies are not enclosed herewith.					

## DOCKET NO.: MSFT-0672/158461.1 - 3 -

	In accordance with §1.98(d), copies of the following references listed				
	on the attached Form PTO-1449 are not enclosed herewith because				
	they were previously cited by or submitted to the U.S. Patent and				
	Trademark Office in patent application(s) for which a claim for priority				
	under 35 U.S.C.§120 have been made in the instant application:				

- Copies of references [list as appropriate] listed on the attached Form

  PTO-1449 were previously cited by or submitted to the Patent and

  Trademark Office in prior application Serial No., filed.
  - ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

There are no listed references which are not in the English language

Date: Jul 7, 2002

George J. Awad Registration No.

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List of Patent and Publications Cited by Applicant (Use several sheets if necessary)				Applicant Adrian C. Klein, et al.					
U.S. Department of Commerce Patent and Trademark Office				Filing Date November 26, 2001	Group 2641				
U. S. PATENT DOCUMENTS									
Examiner Initial		Document No.	Date	Name	Class	Subclass			
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FOREIGN PATENT DOCUMENTS									
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